



IFW

Docket No.: 0171-1287PUS1
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Takayuki ONIKI et al.

Application No.: 10/584,192

Confirmation No.: 3847

Filed: June 23, 2006

Art Unit: N/A

For: NONAQUEOUS GEL COMPOSITION FOR
TOOTH WHITENING AND TOOTH
WHITENING SET

Examiner: Not Yet Assigned

L E T T E R

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Subsequent to the filing of the above-identified application on June 23, 2006, attached hereto is an English translation of the International Preliminary Examination Report (Form PCT/IPEA/409) that should be made of record in the present application.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or to credit any overpayment to Deposit Account No. 02-2448 for any

additional fees required under 37 C.F.R. § 1.16 or under 37 C.F.R. § 1.17; particularly, extension of time fees.

Dated: **NOV 2 2006**

Respectfully submitted,

By Gerald M. Murphy, Jr.
Gerald F. Regg #48,501
Gerald M. Murphy, Jr.
Registration No.: 28,977
BIRCH, STEWART, KOLASCH & BIRCH, LLP
8110 Gatehouse Road
Suite 100 East
P.O. Box 747
Falls Church, Virginia 22040-0747
(703) 205-8000
Attorney for Applicant

Attachment:
International Preliminary
Report on Patentability

From the INTERNATIONAL BUREAU

PCT

NOTIFICATION OF TRANSMITTAL
OF COPIES OF TRANSLATION
OF THE INTERNATIONAL PRELIMINARY REPORT
ON PATENTABILITY
(CHAPTER I OR CHAPTER II
OF THE PATENT COOPERATION TREATY)
(PCT Rules 44bis.3(c) and 72.2)

Date of mailing (day/month/year)
31 August 2006 (31.08.2006)

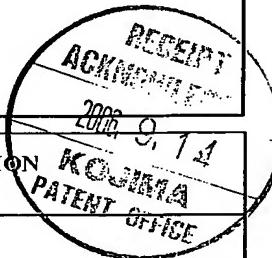
Applicant's or agent's file reference
FAP-4113

International application No.
PCT/JP2004/019344

Applicant
LION CORPORATION et al

To:

KOJIMA, Takashi
Ginza Ohtsuka Bldg. 2F, 16-12, Ginza 2-chome, Chuo-ku,
Tokyo
1040061
JAPON

IMPORTANT NOTIFICATION**1. Transmittal of the translation to the applicant.**

- The International Bureau transmits herewith a copy of the English translation of the international preliminary report on patentability (Chapter I).
- The International Bureau transmits herewith a copy of the English translation of the international preliminary report on patentability (Chapter II).

2. Transmittal of the copy of the translation to the designated or elected Offices.

The International Bureau notifies the applicant that copies of that translation have been transmitted to the following designated or elected Offices requiring such translation:

None

The following designated or elected Offices, having waived the requirement for such a transmittal at this time, will receive copies of that translation from the International Bureau only upon their request:

AE, AG, AL, AM, AP, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EA, EC, EE, EG, EP, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OA, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SM, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW

3. Reminder regarding translation into (one of) the official language(s) of the elected Office(s).

The applicant is reminded that, where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary report on patentability (Chapter II).

It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned within the applicable time limit (Rule 74.1). See Volume II of the PCT Applicant's Guide for further details.

The International Bureau of WIPO
34, chemin des Colombettes
1211 Geneva 20, Switzerland

Authorized officer

Yoshiko Kuwahara

Facsimile No. +41 22 338 82 70

Facsimile No. +41 22 338 82 70

PATENT COOPERATION TREATY

PCT

INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Chapter I of the Patent Cooperation Treaty)

(PCT Rule 44bis)

Applicant's or agent's file reference FAP-4113	FOR FURTHER ACTION		See item 4 below
International application No. PCT/JP2004/019344	International filing date (<i>day/month/year</i>) 24 December 2004 (24.12.2004)	Priority date (<i>day/month/year</i>) 26 December 2003 (26.12.2003)	
International Patent Classification (8th edition unless older edition indicated) See relevant information in Form PCT/ISA/237			
Applicant LION CORPORATION			

<ol style="list-style-type: none"> This international preliminary report on patentability (Chapter I) is issued by the International Bureau on behalf of the International Searching Authority under Rule 44 bis.1(a). This REPORT consists of a total of 5 sheets, including this cover sheet. <p>In the attached sheets, any reference to the written opinion of the International Searching Authority should be read as a reference to the international preliminary report on patentability (Chapter I) instead.</p>																
<ol style="list-style-type: none"> This report contains indications relating to the following items: <table> <tr> <td><input checked="" type="checkbox"/> Box No. I</td> <td>Basis of the report</td> </tr> <tr> <td><input type="checkbox"/> Box No. II</td> <td>Priority</td> </tr> <tr> <td><input type="checkbox"/> Box No. III</td> <td>Non-establishment of opinion with regard to novelty, inventive step and industrial applicability</td> </tr> <tr> <td><input type="checkbox"/> Box No. IV</td> <td>Lack of unity of invention</td> </tr> <tr> <td><input checked="" type="checkbox"/> Box No. V</td> <td>Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement</td> </tr> <tr> <td><input type="checkbox"/> Box No. VI</td> <td>Certain documents cited</td> </tr> <tr> <td><input type="checkbox"/> Box No. VII</td> <td>Certain defects in the international application</td> </tr> <tr> <td><input checked="" type="checkbox"/> Box No. VIII</td> <td>Certain observations on the international application</td> </tr> </table> The International Bureau will communicate this report to designated Offices in accordance with Rules 44bis.3(c) and 93bis.1 but not, except where the applicant makes an express request under Article 23(2), before the expiration of 30 months from the priority date (Rule 44bis .2). 	<input checked="" type="checkbox"/> Box No. I	Basis of the report	<input type="checkbox"/> Box No. II	Priority	<input type="checkbox"/> Box No. III	Non-establishment of opinion with regard to novelty, inventive step and industrial applicability	<input type="checkbox"/> Box No. IV	Lack of unity of invention	<input checked="" type="checkbox"/> Box No. V	Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement	<input type="checkbox"/> Box No. VI	Certain documents cited	<input type="checkbox"/> Box No. VII	Certain defects in the international application	<input checked="" type="checkbox"/> Box No. VIII	Certain observations on the international application
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<input type="checkbox"/> Box No. VII	Certain defects in the international application															
<input checked="" type="checkbox"/> Box No. VIII	Certain observations on the international application															

<p>Date of issuance of this report 22 August 2006 (22.08.2006)</p>	
<p>The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland Facsimile No. +41 22 338 82 70</p>	<p>Authorized officer Yoshiko Kuwahara e-mail: pt07@wipo.int</p>

PATENT COOPERATION TREATY

From the
INTERNATIONAL SEARCHING AUTHORITY

To:

TRANSLATION
PCT

**WRITTEN OPINION OF THE
INTERNATIONAL SEARCHING AUTHORITY**

(PCT Rule 43bis.1)

		Date of mailing (day/month/year)
Applicant's or agent's file reference FAP-4113		FOR FURTHER ACTION See paragraph 2 below
International application No. PCT/JP2004/019344	International filing date (day/month/year) 24.12.2004	Priority date (day/month/year) 26.12.2003
International Patent Classification (IPC) or both national classification and IPC		
Applicant LION CORPORATION		

1. This opinion contains indications relating to the following items:

- Box No. I Basis of the opinion
- Box No. II Priority
- Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
- Box No. IV Lack of unity of invention
- Box No. V Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- Box No. VI Certain documents cited
- Box No. VII Certain defects in the international application
- Box No. VIII Certain observations on the international application

**CORRECTED
VERSION**

2. **FURTHER ACTION**

If a demand for international preliminary examination is made, this opinion will be considered to be a written opinion of the International Preliminary Examining Authority ("IPEA") except that this does not apply where the applicant chooses an Authority other than this one to be the IPEA and the chosen IPEA has notified the International Bureau under Rule 66.1bis(b) that written opinions of this International Searching Authority will not be so considered.

If this opinion is, as provided above, considered to be a written opinion of the IPEA, the applicant is invited to submit to the IPEA a written reply together, where appropriate, with amendments, before the expiration of 3 months from the date of mailing of Form PCT/ISA/220 or before the expiration of 22 months from the priority date, whichever expires later.

For further options, see Form PCT/ISA/220.

3. For further details, see notes to Form PCT/ISA/220.

Name and mailing address of the ISA/JP	Authorized officer
Facsimile No.	Telephone No.

WRITTEN OPINION OF THE
INTERNATIONAL SEARCHING AUTHORITY

International application No.

PCT/JP2004/019344

Box No. I Basis of this opinion

1. With regard to the language, this opinion has been established on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.
 This opinion has been established on the basis of a translation from the original language into the following language _____, which is the language of a translation furnished for the purposes of international search (under Rule 12.3 and 23.1(b)).
2. With regard to any nucleotide and/or amino acid sequence disclosed in the international application and necessary to the claimed invention, this opinion has been established on the basis of:
 - a. type of material
 a sequence listing
 table(s) related to the sequence listing
 - b. format of material
 in written format
 in computer readable form
 - c. time of filing/furnishing
 contained in the international application as filed.
 filed together with the international application in computer readable form.
 furnished subsequently to this Authority for the purposes of search.
3. In addition, in the case that more than one version or copy of a sequence listing and/or table(s) relating thereto has been filed or furnished, the required statements that the information in the subsequent or additional copies is identical to that in the application as filed or does not go beyond the application as filed, as appropriate, were furnished.
4. Additional comments:

**WRITTEN OPINION OF THE
INTERNATIONAL SEARCHING AUTHORITY**

International application No.
PCT/JP2004/019344

Box No. V	Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement																			
<p>1. Statement</p> <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 20%;">Novelty (N)</td> <td style="width: 60%;">Claims <u>1-9</u></td> <td style="width: 20%;">YES</td> </tr> <tr> <td></td> <td>Claims _____</td> <td>NO</td> </tr> <tr> <td>Inventive step (IS)</td> <td>Claims _____</td> <td>YES</td> </tr> <tr> <td></td> <td>Claims <u>1-9</u></td> <td>NO</td> </tr> <tr> <td>Industrial applicability (IA)</td> <td>Claims <u>1-9</u></td> <td>YES</td> </tr> <tr> <td></td> <td>Claims _____</td> <td>NO</td> </tr> </table> <p>2. Citations and explanations:</p> <p>Document 1: WO 2002/026196 A1 (PATACCA, Tomas, R.) 04 April 2002, claims; page 4, lines 8-26</p> <p>Document 2: JP 10-203942 A (Kao Corp.) 04 August 1998, claims; Par. No. [0018]</p> <p>Document 3: JP 11-92350 A (Kao Corp.) 06 April 1999, claims; Par. Nos. [0029], [0037]</p> <p>Document 4: JP 2001-181139 A (Yugen Kaisha Tiesute) 03 July 2001, claims</p> <p>(1) The inventions of claims 1-9 do not appear to involve an inventive step based on documents 1-3.</p> <p>Document 1 describes, as a composition for coating a tooth, a substance in which a film forming polymer, a solvent, and a rheology modifier are blended, and it gives as an example, ethylene glycol as a solvent.</p> <p>Document 2 describes a tooth coating agent in which shellac, cellulose ether and a solvent are blended, and it discloses a lower alcohol such as isopropanol as a solvent.</p> <p>Document 3 describes a tooth coating agent in which a polymer having a carboxyl group and a lower alcohol are blended, and it describes a possibility of blending a viscosity control agent.</p> <p>In documents 1-3, selecting a favorable solvent and using a viscosity control agent would be easy for a party skilled in the art.</p> <p>(2) The inventions of claims 1-9 do not appear to involve an inventive step based on documents 1-4.</p> <p>Document 4 describes a tooth filming agent in which propylene glycol, shellac, and glycerin fatty acid ester are blended.</p> <p>In the descriptions in documents 1-3, attempting to blend the cellulose ether and rheology modifier that are used in a tooth filming agent for the purpose of viscosity control in consideration of the inventions would be easy for a party skilled in the art.</p>			Novelty (N)	Claims <u>1-9</u>	YES		Claims _____	NO	Inventive step (IS)	Claims _____	YES		Claims <u>1-9</u>	NO	Industrial applicability (IA)	Claims <u>1-9</u>	YES		Claims _____	NO
Novelty (N)	Claims <u>1-9</u>	YES																		
	Claims _____	NO																		
Inventive step (IS)	Claims _____	YES																		
	Claims <u>1-9</u>	NO																		
Industrial applicability (IA)	Claims <u>1-9</u>	YES																		
	Claims _____	NO																		

WRITTEN OPINION OF THE
INTERNATIONAL SEARCHING AUTHORITY

International application No.

PCT/JP2004/019344

Box No. VIII Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:

< The subject of the examination>

Concerning claims 1, 5-9

In the tooth whitening component (A) and the substance that is soluble in the tooth whitening component and is precipitated by an aqueous solution of calcium chloride (B) of claims 1 and 5-9, component (A) encompasses an extremely vast variety of components showing tooth whitening effect, and similarly component (B) that corresponds to component (A) also encompasses wide variety of substances.

However, as components (A) and (B), the components disclosed in the sense of PCT Article 5 are the specific components described in the specification and they are not fully supported in the sense of PCT Article 6.

Therefore, this written opinion was created based on the result of the examination which was conducted for the components (A) and (B) specifically described in the specification.